



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,565	04/19/2001	Wolfgang Tager	14034

CONFIRMATION NO. 8747

## FORMALITIES LETTER

\*OC000000006202987\*

David E. Bruhn  
Dorsey & Whitney LLP  
220 South Sixth Street  
Minneapolis, MN 55402-1498COPY OF PAPERS  
ORIGINALLY FILED

02/25/02

Date Mailed: 06/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket: 14034

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Wolfgang Tager	COPY OF PAPERS ORIGINALLY FILED <u>02/20/02</u>	Examiner: Unknown Group Art Unit: 2635 COPY OF PAPERS ORIGINALLY FILED
Appln. No.:	09/838,565		
Filed:	April 19, 2001		
Title:	Powerline Data Communication		

MAR 28 2002

FILING OF MISSING PARTS  
OF APPLICATION UNDER 37 CFR 1.53(f)

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S.  
mail addressed to: Commissioner for Patents, Washington, D.C.  
20231 on this 19 day of December, 2001.

Frances Esque  
(Signature)

Dear Sir:

In response to the Notice To File Missing Parts of Application—Filing Date Granted, mailed on June 20, 2001, pursuant to 37 CFR 1.53(f), the following documents are enclosed

1. Required copy of the Notice to File Missing Parts of Application;
2. Signed Declaration;
3. Fee Transmittal Sheet;
4. Petition for Extension of Time;
5. A check in the amount of \$ 435.00 (\$370.00 to cover the basic filing fee, and \$65.00 for the surcharge under 37 CFR 1.16(e)); and
6. Return Receipt Postcard.

Respectfully submitted,

DORSEY &amp; WHITNEY LLP

Date:

Dec 19, 2001

By:

David E. Bruhn  
David E. Bruhn (Reg. No. 36,762)  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 340-6317



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UNITED STATES DEPARTMENT OF COMMERCE  
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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/838,565	04/19/2001	Tager, Wolfgang	14034

David E. Bruhn  
Dorsey & Whitney LLP  
220 South Sixth Street  
Minneapolis MN 55402-1498

OIFE  
MAR 28 2002

Title: Powerline data communication

Date Mailed: 03/22/2002

### Request for Substitute Papers

The papers filed on 02/25/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIFE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIFE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: DAVID E. BRUHN Registration No.: 36,762Signature: [Signature] Date: 3-28-2002

## DORSEY &amp; WHITNEY LLP

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## FAX COVER SHEET

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DATE: March 28, 2002 TOTAL # OF PAGES (INCLUDING THIS COVER SHEET): 10

TO: United States Patent and Trademark Office FAX #: 703-746-4060  
FIRM NAME: United States Patent and Trademark Office TELEPHONE #: 703-308-0910

FROM: David E. Bruhn FAX #: (612) 340-8856  
TELEPHONE #: (612) 340-6317 EMAIL: bruhn.david@dorseyllaw.com

## COMMENTS:

RE: U.S. Patent Application Serial No.: 09/838,565  
Title: Powerline Data Communication  
Date Filed: April 19, 2001

In response to the Request for Substitute Papers, Applicant submits herewith:

- 1) Copy of Request for Substitute Papers;
- 2) Copy of Response to Notice of Missing Parts;
- 3) Copy of Signed Declaration;
- 4) Copy of the Fee Transmittal;
- 5) Copy of the Petition for Extension of Time;
- 6) Copy of check stubs for payment of Extension of Time and Surcharge;
- 7) Copy of postcard indicating receipt of the Notice of Missing Parts filed on December 19, 2001.

*Frances Essien*  
Originator's Signature

Original will be sent via: ☐ Mail ☐ Messenger ☐ Air Courier ☒ Will not be sent

PLEASE CONTACT FRANCES L. ESSIEN AT (612) 340-7849 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.



*The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:*

Missing Parts Transmittal (1pg); Copy of Notice of Missing Parts (1pg); Signed Declaration (3pgs); Fee Transmittal (1pg); Petition for Extension of Time (1pg); check for \$435.00; and a return postcard.

**Via First Class Mail (with certificate of mailing)**

First Named Inventor: Wolfgang Tager  
Client Name: Polytrax Information Technology AG  
Application No.: 09/838,565  
Filing Date: April 19, 2001  
Application Title: Powertine Data Communication  
Attorney/LA Initials: DEB/le  
Mailed: December 19, 2001  
Docket No.: 14034



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Adams & Smith



**DORSEY & WHITNEY LLP** 50 SOUTH SIXTH STREET, STE 1500 MINNEAPOLIS, MINNESOTA 55402-1498

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MAR 28 2002

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**TWO SIGNATURES REQUIRED ON CHECK  
OVER \$200,000.00**

TO  
THE  
ORDER  
OF

**Director of the United States  
Patent and Trademark Office**

**VOID AFTER SIX MONTHS**

089A1750 12097904554 15008037893

Received from < 6123408856 > at 3/28/02 4:42:25 PM [Eastern Standard Time].

